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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	MI22-2335
First Inventor	Michael T. Andreas
Title	Method of Processing a Semiconductor Substrate, ...
Express Mail Label No.	EV 318284456 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 35]
(preferred arrangement set forth below)
 - Descriptive title of the invention Plus title page
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Formal Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. Oath or Declaration [Total Pages 2]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Check for \$1,954.00; Power of Attorney/Certificate by Assignee

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____ / _____

Prior application information:

Examiner: _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS
☒ Customer Number or Bar Code Label 021567 or ☐ Correspondence address below
(Insert Customer No. or Attach bar code label here)

Name	Mark S. Matkin, Reg. 32,268				
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City	Spokane	State	WA	Zip Code	99201-3828
Country		Telephone	509-624-4276	Fax	509-838-3424

Name (Print/Type)	James E. Lake	Registration No. (Attorney/Agent)	44,854
Signature	[Signature]		Date 18 Sep 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

 10/664744
09/18/03

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<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 5px 0;">for FY 2003</h3> <p style="font-size: small; margin: 0;">Patent fees are subject to annual revision. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</p>		Complete if Known	
TOTAL AMOUNT OF PAYMENT (\$1,954.00)		Application Number: Unknown	Filing Date: Filed Herewith
		First Named Inventor: Michael T. Andreas	Examiner Name: Unknown
		Group / Art Unit: Unknown	Attorney Docket No.: MI22-2335

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																																																																
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: 23-0925</p> <p>Deposit Account Name: Wells St. John P.S.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>	<p>3. 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108 690	208 345	Reissue filing fee																																																																																																																																																																																															
114 150	214 75	Provisional filing fee																																																																																																																																																																																															
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103 18	203 9	Claims in excess of 20																																																																																																																																																																																															
102 78	202 39	Independent claims in excess of 3																																																																																																																																																																																															
104 260	204 130	Multiple dependent claim, if not paid																																																																																																																																																																																															
109 78	209 39	** Reissue independent claims over original patent																																																																																																																																																																																															
110 18	210 9	** Reissue claims in excess of 20 and over original patent																																																																																																																																																																																															
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Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																																																																																														
105 130	205 65	Surcharge - late filing fee or oath	0.00																																																																																																																																																																																														
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	0.00																																																																																																																																																																																														
139 130	139 130	Non-English specification	0.00																																																																																																																																																																																														
147 2,520	147 2,520	For filing a request for reexamination	0.00																																																																																																																																																																																														
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	0.00																																																																																																																																																																																														
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	0.00																																																																																																																																																																																														
115 110	215 55	Extension for reply within first month	0.00																																																																																																																																																																																														
116 380	216 190	Extension for reply within second month	0.00																																																																																																																																																																																														
117 870	217 435	Extension for reply within third month	0.00																																																																																																																																																																																														
118 1,360	218 680	Extension for reply within fourth month	0.00																																																																																																																																																																																														
128 1,850	228 925	Extension for reply within fifth month	0.00																																																																																																																																																																																														
119 300	219 150	Notice of Appeal	0.00																																																																																																																																																																																														
120 300	220 150	Filing a brief in support of an appeal	0.00																																																																																																																																																																																														
121 260	221 130	Request for oral hearing	0.00																																																																																																																																																																																														
138 1,510	138 1,510	Petition to institute a public use proceeding	0.00																																																																																																																																																																																														
140 110	240 55	Petition to revive - unavoidable	0.00																																																																																																																																																																																														
141 1,210	241 605	Petition to revive - unintentional	0.00																																																																																																																																																																																														
142 1,210	242 605	Utility issue fee (or reissue)	0.00																																																																																																																																																																																														
143 430	243 215	Design issue fee	0.00																																																																																																																																																																																														
144 580	244 290	Plant issue fee	0.00																																																																																																																																																																																														
122 130	122 130	Petitions to the Commissioner	0.00																																																																																																																																																																																														
123 50	123 50	Petitions related to provisional applications	0.00																																																																																																																																																																																														
126 240	126 240	Submission of Information Disclosure Stmt	0.00																																																																																																																																																																																														
581 40	581 40	Recording each patent assignment per property (times number of properties)	40.00																																																																																																																																																																																														
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00																																																																																																																																																																																														
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	0.00																																																																																																																																																																																														
Other fee (specify) _____			0.00																																																																																																																																																																																														
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type): James E. Lake	Registration No. (Attorney/Agent): 44,854	Telephone: 509-624-4276	Date: 18 Sep 2003
Signature:			

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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